

Ph 203 L5

Effective Field Theory (Weinberg)

→ For context notes

• Fermi theory of β -decay is also an EFT, since Fermi didn't know about W^\pm, Z^0

→ For NN int. based on QCD EFT start with:

$$\mathcal{L}_{QCD} = \sum_{f=u,d,\dots} \bar{q}_f (i \not{D} - m_f) q_f - \frac{1}{4} G_{\mu\nu,a} G_a^{\mu\nu}$$

$$u \quad \not{D} = \not{\partial} - ig \sum_a \frac{\lambda_a}{2} A_{\mu,a}$$

Coupling

$\mu\nu = 0-3$ space time
 $a = 1-8 \Rightarrow$ color charge
 this is gluon self-interaction

QCD vector potential

generators of $SU(3)$ c.f. QED

↳ Gell-Mann matrices for color triplet r, b, g

3x3 e.g.

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \dots$$

≠

$$G_{\mu\nu,a} = \partial_\mu A_{\nu,a} - \partial_\nu A_{\mu,a} + g f_{abc} A_{\mu,b} A_{\nu,c}$$

↳ like ϵ_{ijk}

$SU(3)$ structure constants

For N-N force generally only need $q_f = u, d$

$$u \quad m_u \approx 2.2 \text{ MeV}$$

$$m_d \approx 4.7 \text{ MeV}$$

$$m_s \approx 100 \text{ MeV}$$

$$m_c \approx 1.2 \text{ GeV}$$

$$m_b \approx 4.2 \text{ GeV}$$

$$m_t \approx 173 \text{ GeV}$$

called "current"

quark masses

undressed

first
 focussing on u, d quarks,
 @ $E \gg \text{GeV}$ $m_u \approx m_d \approx 0$ gives

$$L_{\text{QCD}} \approx \sum_f \bar{q}_f i \not{D} q_f - \frac{1}{4} G_{\mu\nu, a} G_a^{\mu\nu}$$

in this limit helicity $(\vec{\sigma} \cdot \vec{p})$ is conserved
 \hookrightarrow right-handed $\vec{\sigma} \cdot \vec{p} > 0$
 left-handed $\vec{\sigma} \cdot \vec{p} < 0$

we get an $SU(2)$ symmetry \Rightarrow chiral sym.

$$L_{\text{QCD}} = \sum_f (\bar{q}_{R,f} i \not{D} q_{R,f} + \bar{q}_{L,f} i \not{D} q_{L,f}) - \frac{1}{4} G G$$

note: for massless particles ($m \ll E$) helicity = chirality
 but chirality is actually a unique $SU(2)$ sym.

$$\begin{pmatrix} u \\ d \end{pmatrix} = \text{Isospin}, \quad \begin{pmatrix} u_L \\ u_R \end{pmatrix} = \text{chirality}$$

$$w \text{ projection operator} = \frac{1}{2}(1 \pm \gamma_5)$$

Note:

① Together isospin & chiral symmetry yield 2 strong conserved currents (for $E \gg m_{u,d}$)

$$V_i^\mu = R_i^\mu + L_i^\mu = \bar{q} \gamma^\mu \frac{\tau_i}{2} q \Rightarrow \text{isospin}$$

$$A_i^\mu = R_i^\mu - L_i^\mu = \bar{q} \gamma^\mu \gamma_5 \frac{\tau_i}{2} q \Rightarrow \text{chiral}$$

② If $E \approx m_{u,d}$ both isospin & chirality are broken
 \rightarrow "small" violation of isospin
 since $m_d - m_u \ll m_{p,n}$

(3)

→ but large "spontaneous" breakdown of chiral symmetry

→ chiral sym. requires existence of degenerate states ($m_1 = m_2$) with opposite intrinsic parity

but $m_p (I = \frac{1}{2}, J^\pi = \frac{1}{2}^+) = 938 \text{ MeV}$

$m_{N^+} (I = \frac{1}{2}, J^\pi = \frac{1}{2}^-) = 1535 \text{ MeV}$

also $m_p (1, 1^-) = 770 \text{ MeV}$

$m_a (1, 1^+) = 1260 \text{ MeV}$

→ Such spont. broken symmetries lead to appearance of a massive "Goldstone" boson

↳ pion!

$m_\pi \neq 0$ since $m_u, m_d \neq 0$

↳ leads to Modern EFT:

Chiral Perturbation Theory (ChEFT)

(1) Construct general \mathcal{L} using effective d.o.f. π, N
w/ sym + sym of QCD

(2) Develop scheme for identifying key Feynman diags using expansion in $\frac{Q}{\Lambda_\chi}$

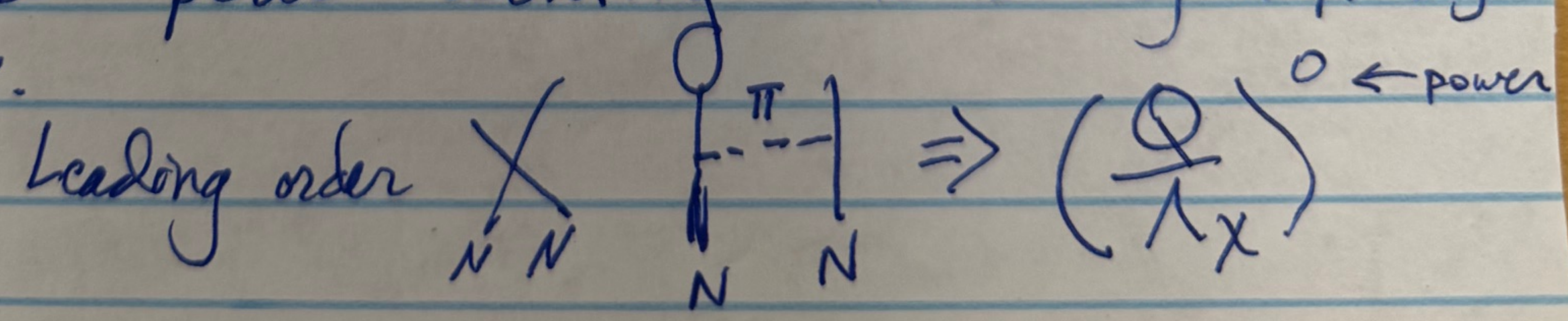
$Q = P - P'$ mom. xfer
 $\Lambda_\chi \rightarrow$ cutoff mass

w/ $\Lambda_\chi > m_\pi$ but $\Lambda_\chi < Q_{\text{perturb. QCD}} \rightarrow \approx 2 \text{ GeV}$

↳ $\Lambda_\chi \approx 500 - 1000 \text{ MeV}$

use "power counting" to identify imp diags

e.g.



④

then scale diags by power \times low energy constant

$$\left(\frac{Q}{\lambda_x}\right)^n \times C_{n,i}$$

fit these to data @ low E

Show Pic of Diags:



Nucleon-Nucleon Scattering Up to $N^5\text{LO}$ in Chiral Effective Field Theory

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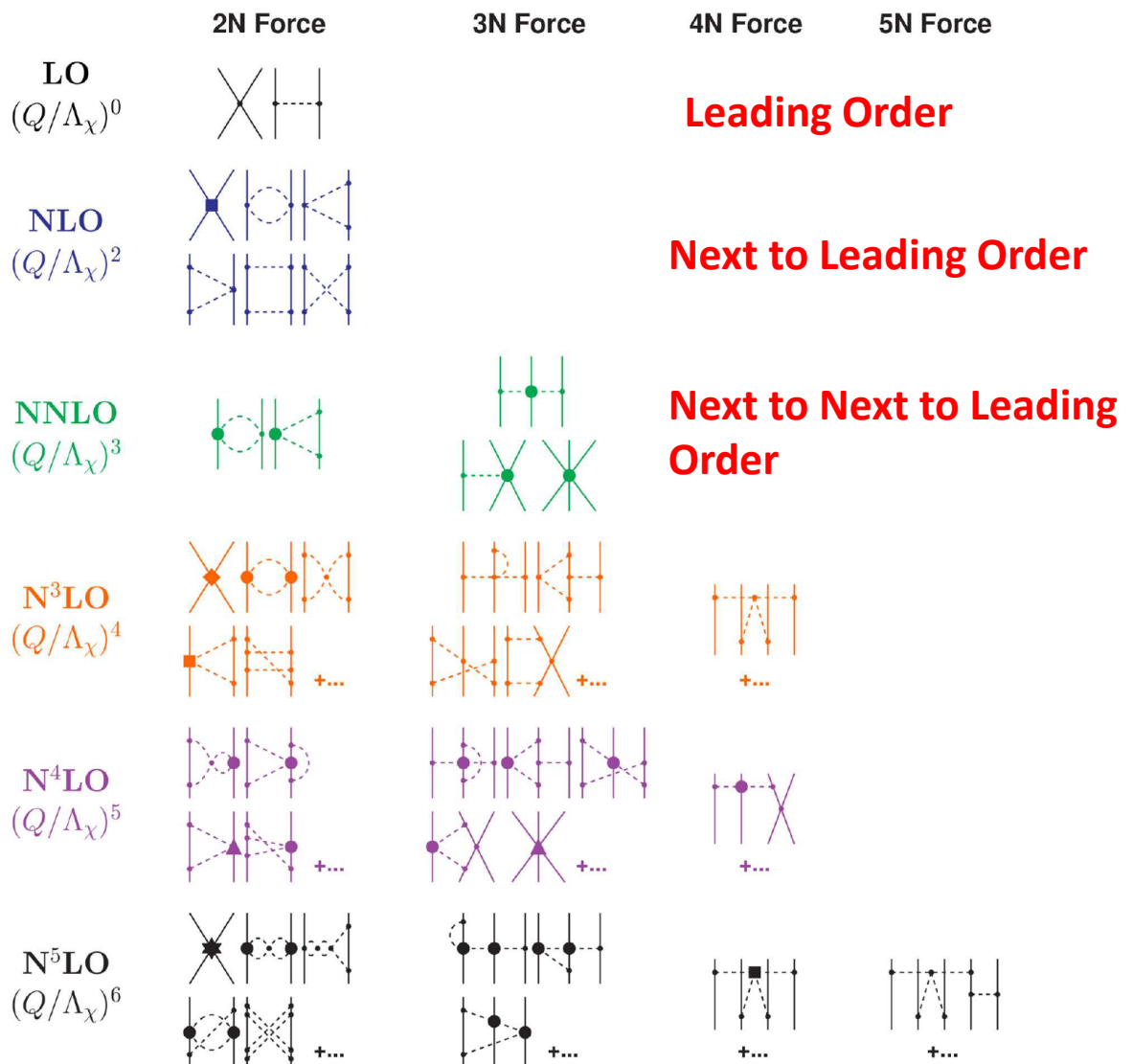


FIGURE 1 | Hierarchy of nuclear forces up to $N^5\text{LO}$ or sixth order of the chiral expansion. Only some representative diagrams are included. Small dots, large solid dots, solid squares, triangles, diamonds, and stars denote vertexes of index $\Delta_i = 0, 1, 2, 3, 4,$ and $6,$ respectively. Reprinted figure with permission from Entem et al. [37], copyright (2017) by the American Physical Society.

⑥

Note: ① @ each order several LEC's extracted via fits

② @ higher order can use lower order LEC's (sometimes)

③ If higher order terms are smaller
→ convergence

Results for N-N Phase Shifts:

(Maybe?!)

n-p phase shifts (from LO to N4LO)

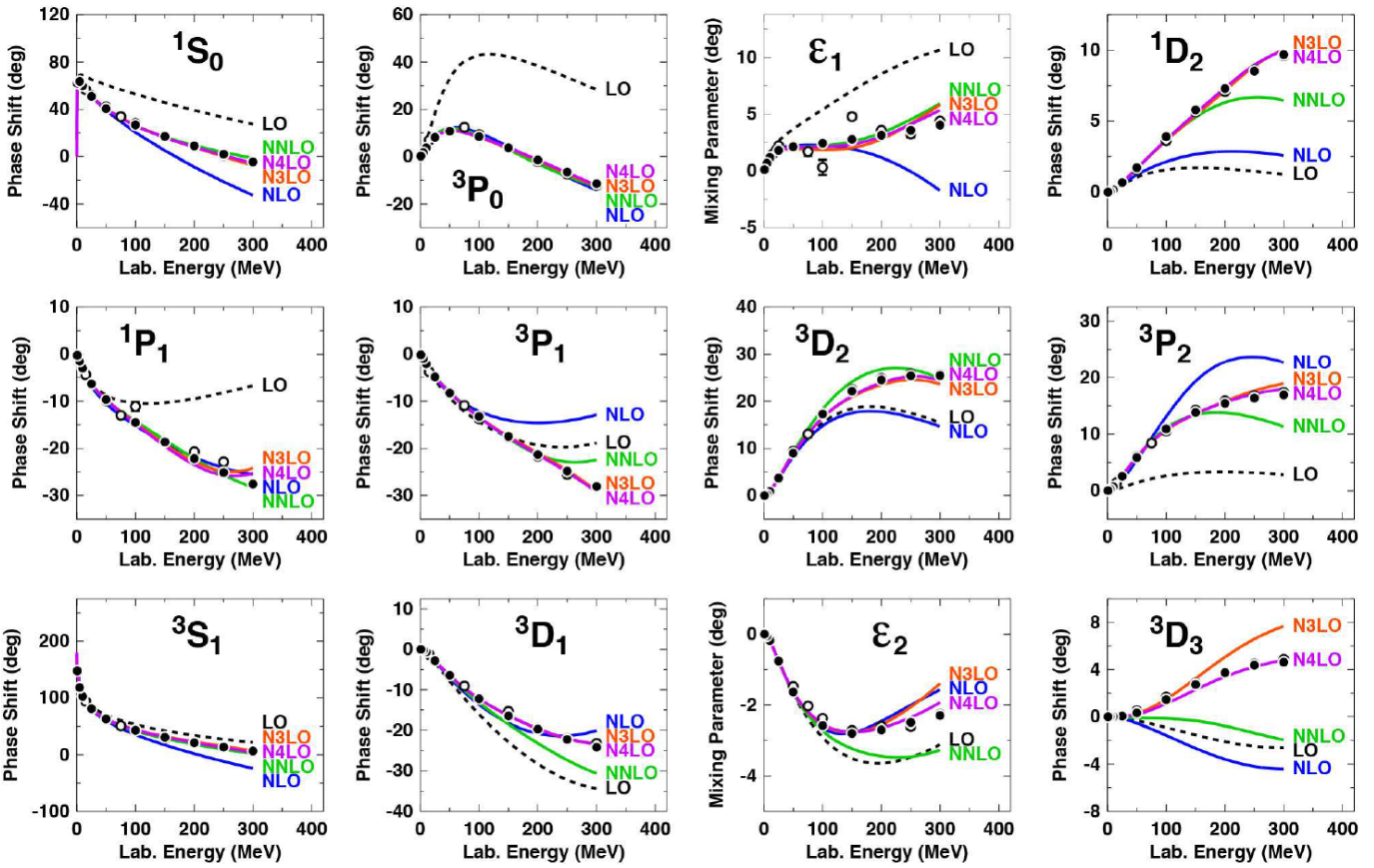


FIGURE 15 | Chiral expansion of neutron-proton scattering as represented by the phase shifts in S , P , and D waves and mixing parameters ϵ_1 and ϵ_2 . Five orders ranging from LO to N^4 LO are shown as denoted. A cutoff $\Lambda = 500$ MeV is applied in all cases. The filled and open circles represent the results from the Nijmegen multi-energy np phase-shift analysis [93] and the GWU single-energy np analysis SP07 [95], respectively. Reprinted figure with permission from Entem et al. [37], copyright (2017) by the American Physical Society.

Rodriguez Entem et al.

NN Scattering Up to N^5 LO

TABLE 4 | χ^2/datum for the fit of the 2016 NN data base by NN potentials at various orders of chiral EFT ($\Lambda = 500$ MeV in all cases).

T_{lab} bin (MeV)	No. of data	LO	NLO	NNLO	N^3 LO	N^4 LO
Proton-proton						
0–100	795	520	18.9	2.28	1.18	1.09
0–190	1206	430	43.6	4.64	1.69	1.12
0–290	2132	360	70.8	7.60	2.09	1.21
Neutron-proton						
0–100	1180	114	7.2	1.38	0.93	0.94
0–190	1697	96	23.1	2.29	1.10	1.06
0–290	2721	94	36.7	5.28	1.27	1.10
pp plus np						
0–100	1975	283	11.9	1.74	1.03	1.00
0–190	2903	235	31.6	3.27	1.35	1.08
0–290	4853	206	51.5	6.30	1.63	1.15

From Entem et al. [37].

(8)

Is χ EFT better than Phenom. NN or OBE
Theorists say Yes!!

1. Allows estimate of uncertainty @ each order
2. Automatically includes 3NF, 4NF, ...
3. Fewer Params:

AV18 + 3NF needs 50 params

N^3LO

needs 24 params

PIC of 2H & 3H Results

Deuteron and Triton Properties

TABLE 5 | Two- and three-nucleon bound-state properties as predicted by NN potentials at various orders of chiral EFT ($\Lambda = 500$ MeV in all cases).

	LO	NLO	NNLO	N ³ LO	N ⁴ LO	Empirical ^a
Deuteron						
B_d (MeV)	2.224575	2.224575	2.224575	2.224575	2.224575	2.224575(9)
A_S (fm ^{-1/2})	0.8526	0.8828	0.8844	0.8853	0.8852	0.8846(9)
η	0.0302	0.0262	0.0257	0.0257	0.0258	0.0256(4)
r_{str} (fm)	1.911	1.971	1.968	1.970	1.973	1.97507(78)
Q (fm ²)	0.310	0.273	0.273	0.271	0.273	0.2859(3)
P_D (%)	7.29	3.40	4.49	4.15	4.10	–
Triton						
B_t (MeV)	11.09	8.31	8.21	8.09	8.08	8.48

(Deuteron: Binding energy B_d , asymptotic S state A_S , asymptotic D/S state η , structure radius r_{str} , quadrupole moment Q , D-state probability P_D ; the predicted r_{str} and Q are without meson-exchange current contributions and relativistic corrections. Triton: Binding energy B_t .) B_d is fitted, all other quantities are predictions.

^aSee Table XVIII of Machleidt [18] for references; the empirical value for r_{str} is from Jentschura et al. [92].

TABLE 6 | χ^2/datum for the fit of the pp plus np data up to 190 MeV and two- and three-nucleon bound-state properties as produced by NN potentials at NNLO and N⁴LO applying different values for the cutoff parameter Λ .

Λ (MeV)	NNLO			N ⁴ LO		
	450	500	550	450	500	550
$\chi^2/\text{datum pp \& np}$						
0–190 MeV (2903 data)	4.12	3.27	3.32	1.17	1.08	1.25
Deuteron						
B_d (MeV)	2.224575	2.224575	2.224575	2.224575	2.224575	2.224575
A_S (fm ^{-1/2})	0.8847	0.8844	0.8843	0.8852	0.8852	0.8851
η	0.0255	0.0257	0.0258	0.0254	0.0258	0.0257
r_{str} (fm)	1.967	1.968	1.968	1.966	1.973	1.971
Q (fm ²)	0.269	0.273	0.275	0.269	0.273	0.271
P_D (%)	3.95	4.49	4.87	4.38	4.10	4.13
Triton						
B_t (MeV)	8.35	8.21	8.10	8.04	8.08	8.12

For some of the notation, see **Table 5**, where also empirical information on the deuteron and triton can be found.

⑨

Low E - Hi E connection:

Recall: g coupling in QCD

$$\alpha_s \propto g^2$$

↑ strong interaction coupling constant

Asymptotic Freedom (- sign) causes

$\alpha_s \downarrow$ as $E \uparrow$ opposite of QED

Pic of α_s vs Q

for $\alpha_s \lesssim 0.3$ QCD is perturbative

but for $E \ll M_{\pi}, \alpha_s > 1!$

Non-Perturbative

Lastly:

χ EFT for Nuclei...
(slides)

Strong coupling constant: $\alpha_s(Q)$

Q is momentum transfer to system

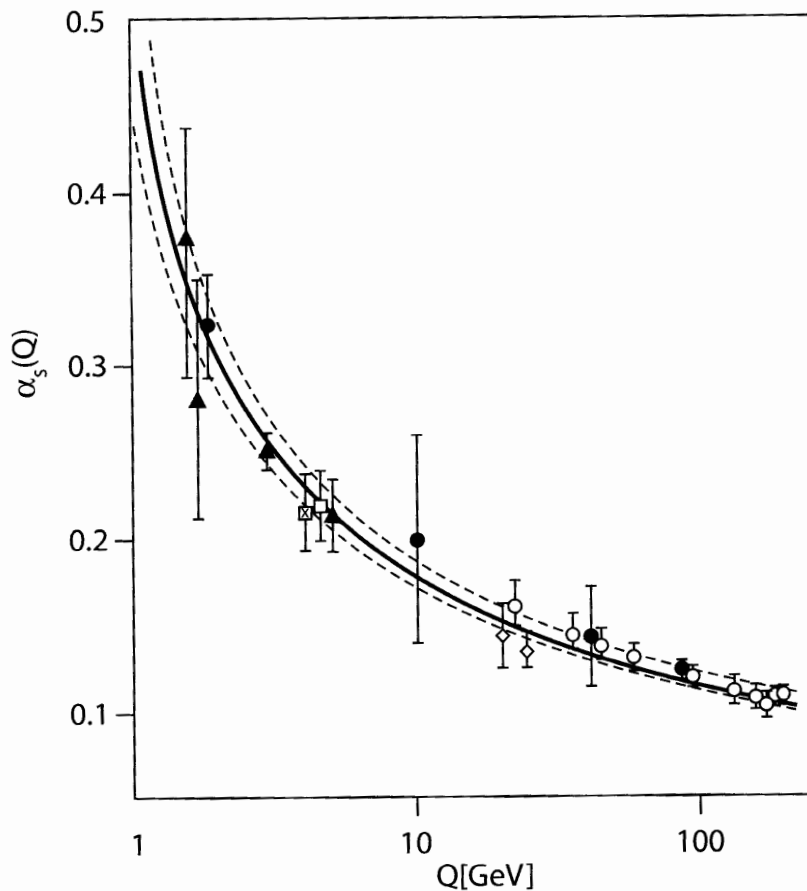


Figure 1.6 The running coupling constant $\alpha_s(Q^2)$ as a function of momentum transfer Q^2 determined from a variety of processes. The figure is from [Bet00].

Strong coupling constant: $\alpha_s(Q)$

<https://www.scientificamerican.com/article/physicists-finally-know-how-the-strong-force-gets-its-strength/>



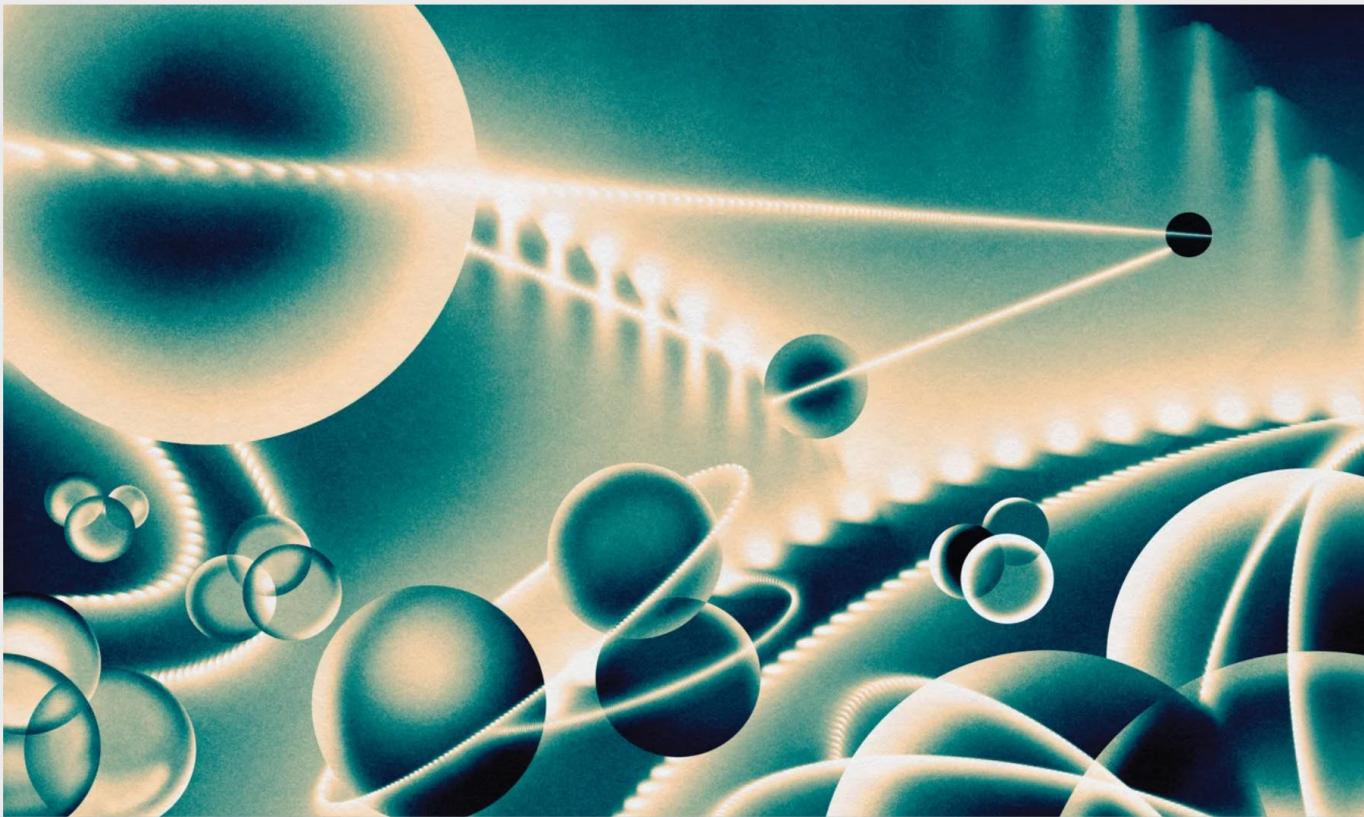
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Physicists Finally Know How the Strong Force Gets Its Strength

New discoveries demystify the bizarre force that binds atomic nuclei together

BY [STANLEY J. BRODSKY](#), [ALEXANDRE DEUR](#) & [CRAIG D. ROBERTS](#)



Experimental determination of the QCD effective charge $\alpha_{g_1}(Q)$

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Abstract

The QCD effective charge $\alpha_{g_1}(Q)$ is an observable that characterizes the magnitude of the strong interaction. At high momentum Q , it coincides with the QCD running coupling $\alpha_s(Q)$. At low Q , it offers a nonperturbative definition of the running coupling. We have extracted $\alpha_{g_1}(Q)$ from measurements carried out at Jefferson Lab that span the very low to moderately high Q domain, $0.14 \leq Q \leq 2.18$ GeV. The precision of the new results is much improved over the previous extractions and the reach in Q at the lower end is significantly expanded. The data show that $\alpha_{g_1}(Q)$ becomes Q -independent at very low Q . They compare well with two recent predictions of the QCD effective charge based on Dyson-Schwinger equations and on the AdS/CFT duality.

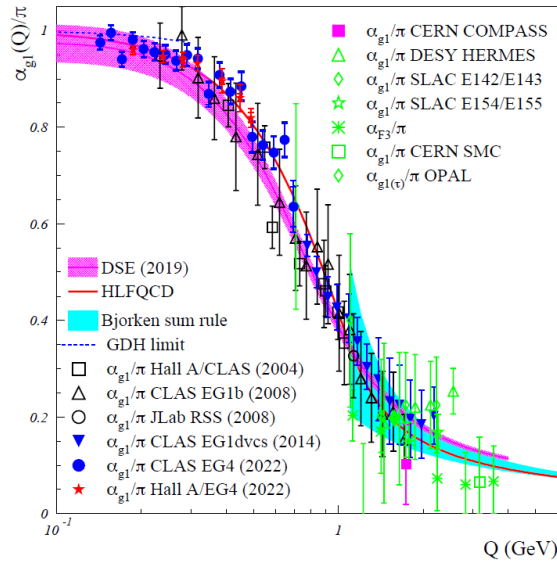
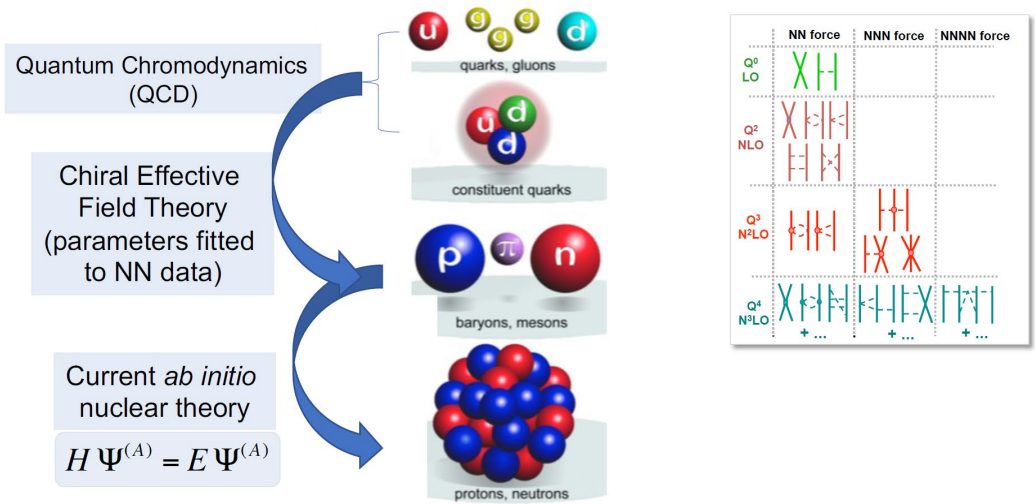


FIG. 1: Effective charge $\alpha_{g_1}(Q)/\pi$ obtained from JLab experiments E03006/E97110 [33] (solid stars), E03006/E05111 [33] (solid circles) and EG1dvcs [32] (solid triangles) and from COMPASS [31] (solid square). Inner error bars represent the statistical uncertainties and outer ones the systematic and statistical uncertainties added quadratically. The open symbols show the older world data [27–30] with the error bars the quadratic sum of the systematic and statistical uncertainties. Also shown are the HLFQCD [24] (red line,

Can use XEFT to calculate nuclear properties (up to $A \sim 20$)

First principles or *ab initio* nuclear theory





Review

Ab initio no core shell model

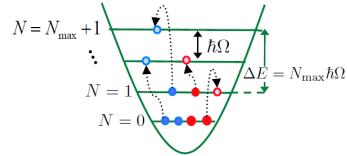
Bruce R. Barrett^a, Petr Navrátil^b, James P. Vary^{a,*}

Conceptually simplest *ab initio* method: No-Core Shell Model (NCSM)

- Basis expansion method
 - Harmonic oscillator (HO) basis truncated in a particular way (N_{\max})
 - Why HO basis?
 - Lowest filled HO shells match magic numbers of light nuclei (2, 8, 20 – ^4He , ^{16}O , ^{40}Ca)
 - Equivalent description in relative(Jacobi)-coordinate and Slater determinant basis
- Short- and medium range correlations
- Bound-states, narrow resonances

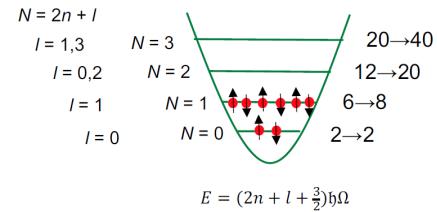


NCSM



$$\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^{HO}(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})$$

$$\Psi_{SD}^A = \sum_{N=0}^{N_{\max}} \sum_j c_{Nj}^{SD} \Phi_{SDNj}^{HO}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A) = \Psi^A \varphi_{000}(\vec{R}_{CM})$$



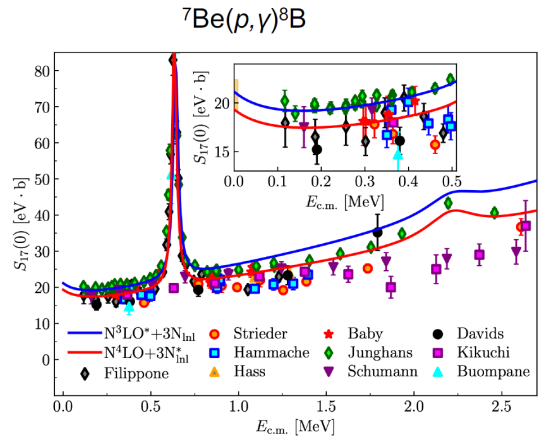
Example of real NP calculation

Radiative capture of protons on ${}^7\text{Be}$

- Solar pp chain reaction, solar ${}^8\text{B}$ neutrinos
- NCSMC calculations with a set of chiral NN+3N interactions as input
 - Radiative capture S-factor
 - Dominated by $E1$ non-resonant
 - $M1/E2$ significant at 1^+ and 3^+ resonances
 - Correlations between results obtained by different chiral interactions and experimental data \rightarrow evaluation of the S-factor at $E=0$ energy relevant for the solar physics

Recommended value $S_{17}(0) \sim 19.8(3)$ eV b

Latest evaluation in *Rev. Mod. Phys.* **83**,195–245 (2011):
 $S_{17}(0) = 20.8 \pm 0.7(\text{expt}) \pm 1.4(\text{theory})$ eV b



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Ab initio informed evaluation of the radiative capture of protons on ${}^7\text{Be}$

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